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## Legal developments: European Union

### *European Parliament*

On 25 March 2021, the European Parliament agreed to new EU export rules on dual use items. It needs to be finally approved by the Council in order to enter into force. Available [here](#).

### *European Commission*

On 18 March 2021, the Corrigendum to Commission Delegated Regulation (EU) 2020/1749 of 7 October 2020 amending Council Regulation (EC) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items has been published. Available [here](#).

On 3 February 2021, the Report from the Commission to the European Parliament and the Council on the implementation of Regulation (EC) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items was published. Available [here](#).

### *Germany*

On 29 January, BAFA published the notice on the "Introduction of electronic licensing in the field of foreign trade law from the 1st March 2021". Available [here](#).

## Legal developments: International

### *United Kingdom*

On 7 April 2021, the "Strategic export controls: licensing statistics: 1 July to 30 September 2020" has been updated. Missing content has been added to statistical commentary. Available [here](#).

On 31 March 2021, United Kingdom's Export Control Joint Unit and Department for International Trade published the Case study "Export controls on academic research", showing when an export licence may be needed in university teaching or research environments. Furthermore, on the same day they published the Guidance "Export controls applying to academic research", has been published. Available [here](#).

On 22 March 2021, the Guidance "Exporting military or dual-use technology: definitions", first published on 18 March 2021, has been updated. Available [here](#).

On 3 March 2021, the Guidance on Export controls: dual-use items, software and technology, goods for torture and radioactive sources has been updated. Available [here](#).

### *Switzerland*

On 24 February 2021, the "Information Leaflet on export of dual-use machine tools to the Russian Federation" from the State Secretariat for Economic Affairs SECO has been published. It defines inter alia the legal basis for such exports, the procedure for license applications and the reasons for denying them. Available [here](#).

### *United States*

Expansion of Certain End-Use and End-User Controls and Controls on Specific Activities of U.S. Persons; Corrections; and Burma Sanctions, 9 April 2021. Available [here](#).

Export Administration Regulations: Implementation of Wassenaar Arrangement 2019 Plenary Decisions; Elimination of Reporting Requirements for Certain Encryption Items, 29 March 2021. Available [here](#).

Russia: Implementation of Chemical and Biological Weapons Control and Warfare Elimination Act of 1991 (CBW Act) Sanctions, 18 March 2021. This News document informs that certain license exceptions will be suspended for use with national security-controlled items destined for Russia, and most license applications for exports or reexports of national security-controlled items destined for Russia will be reviewed under a presumption of denial. Available [here](#).

### Members' works

Q. Michel, V. Vella, L. Caponetti, *Introduction to International Strategic Trade Control Regimes (2021 edition)*, ESU ULg, March 2021. Available [here](#).

Filippo Sevini, Xavier Amés-Novau, *Export Control Handbook for Chemicals (2021 edition)*, European Commission, Joint Research Centre (JRC), 29 March 2021. Available [here](#).

Veronica Vella, "EU Dual-Use Exports: the Commission's 2019 Report", *WorldECR*, Issue 97, 2021.

### Studies and more: Articles, reports, links

J. Arterburn, E. D. Dumbacher, and P. O. Stoutland, Phd, "Signals in the noise - Preventing Nuclear Proliferation With Machine Learning & Publicly Available Information", Nuclear Threat Initiative, 2021. Available [here](#).

Andrew Futter, "Explaining the nuclear challenges posed by emerging and disruptive technology: a primer for European policymakers and professionals", *Non-Proliferation and Disarmament Papers*, No. 73, March 2021. Available [here](#).

### News

"Chinese National Charged with Criminal Conspiracy To Export US Power Amplifiers to China", U.S. Department of Justice, 30 March 2021. Available [here](#).

"Russian national and engineering company admit guilt in scheme to evade U.S. national security trade sanctions", U.S. Department of Justice, 30 March 2021. Available [here](#).

Enzo Caponetti, "The European Parliament approves the new EU export control regime on dual-use items", ESU ULg, 26 March 2021. Available [here](#).

"The Agency at the Center of America's Tech Fight With China", *The New York Times*, 26 March 2021. Available [here](#).

"California Man Sentenced for Illegally Exporting Cesium Atomic Clocks to Hong Kong", U.S. Department of Justice, 5 March 2021. Available [here](#).

BIS imposes denial order on New Jersey resident for exports to Russian nuclear weapons center and other prohibited end users, Bureau of Industry and Security, 10 March 2021. Available [here](#).

Veronica Vella, "Germany to issue DU licenses exclusively in electronic form", ESU ULg, 25 February. Available [here](#).

"Princeton University resolves allegations of export law violations with administrative settlement", Bureau of Industry and Security, 2 February 2021. Available [here](#).

### "Of interest"

**EU Partner-to-Partner (P2P) webpage**



# Chaudfontaine Newsletter

on dual-use items trade controls

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## EU Non-Proliferation and Disarmament Consortium

EUNPD Newsletter (monthly).

Available [here](#).

## TIM Dual-Use Web Platform

Available [here](#).